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Client/Matter No. 32144183-000014

Re Re: Country.: US
Serial No.: 09/827,255
Title: "Compositions and Methods for the Targeted Delivery of Agents to Treat Liver Cancer"
Priority Date: April 5, 2001
Filing Date: April 5, 2001
Assignee(s): Hong Kong University of Science and Technology
Inventor(s): Jeffrey Tze Fei Wong, Shui Ying Tsang
Client Ref'c: TTC.PA.121
Our Ref'c: 32144183-001336

Pages (w/cover) 5

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PTO/SB/21 (09-04)

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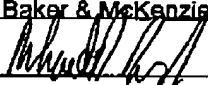
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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/827,255
	Filing Date	April 5, 2001
	First Named Inventor	Wong
	Art Unit	1636
	Examiner Name	Schultz, J.D.
Total Number of Pages in This Submission	Attorney Docket Number	32144183-001336

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Req. for Continued Examination <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request - fee attached <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement - fee attached <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Transmittal, Certificate of Facsimile, SB/80, and SB/96.
Remarks		

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Firm Name	Baker & McKenzie LLP		
Signature			
Printed name	Michael D. Berger, Ph.D.		
Date	February 1, 2006	Reg. No.	52,616

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Assignee Name and Address:

The Hong Kong University of Science and Technology
Clear Water Bay, Kowloon, Hong Kong SAR

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The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Signature		Date	18 JAN 2006
Name	Prof. Roland Chin	Telephone	23586161
Title	Vice President for Research and Development		

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STATEMENT UNDER 37 CFR 3.73(b)

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Applicant/Patent Owner: Hong Kong University of Science & TechnologyApplication No./Patent No.: 09/827,255 Filed/Issue Date: April 5, 2001

FEB 01 2006

Entitled: Compositions and Methods for the Targeted Delivery of Agents to Treat Liver CancerHong Kong University of Science & Technology, a University

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
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- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Michael D. Berger
SignatureFebruary 1, 2006
DateMichael D. Berger, Ph.D.
Printed or Typed Name(713) 427-5031
Telephone NumberPatent Agent, Reg. No. 52,616
Title

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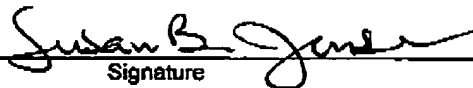
Application No. (if known): 09/827,255

Attorney Docket No.: 32144183-001336

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